Positive Affect and Health Behaviors Across 5 Years in Patients With Coronary Heart Disease: The Heart and Soul Study

Nancy L. Sin, PhD, Judith Tedlie Moskowitz, PhD, MPH, and Mary A. Whooley, MD

ABSTRACT

Objectives: Positive psychological states are linked to superior health and longevity, possibly due to behavioral factors. We evaluated cross-sectional and 5-year associations between positive affect and health behaviors in patients with coronary heart disease (CHD).

Methods: Outpatients with CHD reported positive affect, physical activity, sleep quality, medication adherence, cigarette smoking, and alcohol use at baseline (n = 1022) and 5 years later (n = 662). Covariates in regression analyses included demographics, cardiac disease severity, and depressive symptoms.

Results: At baseline, higher positive affect (per 1 standard deviation) was associated with better health behaviors: physical activity (odds ratio [OR] = 1.52, 95% confidence interval [CI] = 1.30–1.77, p < .001), sleep quality (OR = 1.24, 95% CI = 1.04–1.48, p = .015), medication adherence (OR = 1.46, 95% CI = 1.12–1.90, p = .005), and nonsmoking (OR = 1.29, 95% CI = 1.06–1.57, p = .012), but was unrelated to alcohol use. Baseline positive affect did not predict health behaviors at follow-up, accounting for baseline behaviors. However, increases in positive affect across 5 years co-occurred with improvements in physical activity (B = 0.023, standard error [SE] = 0.008, p = .002), sleep quality (B = 0.011, SE = 0.005, p = .039), and medication adherence (B = 0.014, SE = 0.004, p < .001), but not smoking status (OR = 1.07, 95% CI = 0.73–1.55, p = .74).

Conclusions: Positive affect was associated with health behaviors among patients with CHD. Efforts to sustain or enhance positive affect may be promising for promoting better health behaviors.

Key words: positive affect, health behaviors, coronary heart disease, physical activity, sleep quality, medication adherence.

INTRODUCTION

Psychological and behavioral factors are important in the development and progression of cardiovascular disease. Although negative psychological states, including depression and hostility, have long been recognized as risk factors for cardiovascular morbidity and mortality (1,2), emerging evidence suggests that positive psychological states confer unique salutary effects on health (3,4). Psychological well-being—such as positive emotions, satisfaction with life, and optimism—has been linked to lower incidence of coronary heart disease, superior biological function, and longevity, independent of the effects of negative psychological states (4–8). However, previous studies have also reported null findings between psychological well-being and cardiovascular outcomes (9,10), or significant results for some cardiovascular outcomes but not others (11). The mixed findings in the literature point toward the need to examine physiological and psychosocial pathways that underlie subsequent cardiovascular risk.

The association between positive psychological states and favorable health outcomes is perhaps largely mediated by health behaviors. Individuals with higher well-being...
may be more motivated and persistent in engaging in healthy behaviors, have greater self-efficacy (12), show more attention to and careful processing of health risk information (13), and are better able to adjust health-relevant goals (14). Indeed, increasing evidence indicates that health behaviors (namely, physical activity) may be the underlying mechanisms linking positive psychological states to physical health status (15), reduced risk of incident coronary heart disease (16), and survival (11,17,18).

Existing studies that examine the relationship between positive psychological states and cardiovascular-related health behaviors are limited in several important ways (7,19). First, nearly all of the evidence is cross sectional. Although health-promoting behaviors (e.g., exercise and sleep habits) can improve mood (20–22), it is unknown whether positive states predict future health behaviors and whether changes in positive states are associated with health behavior change. Second, some previous studies have not accounted for potential confounding factors, such as negative psychological states and physical health indices. Third, health behaviors are critical in the etiology and prognosis of cardiovascular disease, but the relationship between positive states and health behaviors has rarely been examined among patients with cardiac disease (23,24).

Because cardiovascular disease is the leading cause of death in the world, an understanding of modifiable protective factors and health behaviors may have a substantial impact on morbidity and mortality. We previously found that adjusting for behavioral factors, particularly physical activity, eliminated the association between positive affect and survival (11). However, the cross-sectional and longitudinal relationships between positive affect and a range of health behaviors have yet to be described in a large sample of patients with cardiac disease. We therefore evaluated the associations of positive affect with concurrent, long-term, and change in health behaviors—dependent of demographics, depressive symptoms, and disease severity—in a cohort of older patients with stable coronary heart disease.

METHODS

Participants

The Heart and Soul Study is a prospective cohort study designed to examine the influence of psychosocial factors on health outcomes in patients with stable coronary heart disease (25). Administrative databases were used to identify patients with coronary heart disease from 12 outpatient clinics in the San Francisco Bay Area. Patients were eligible if they met at least one of the following criteria: a history of myocardial infarction or coronary revascularization, angiographic evidence of at least 50% stenosis in one or more coronary vessels, or evidence of exercise-induced ischemia by treadmill or nuclear testing. Between September 2000 and December 2002, a total of 1024 patients were enrolled, with 1022 providing positive affect data at baseline. Participants underwent a baseline examination that included a comprehensive health interview, blood samples, medical history, self-report questionnaire, and exercise treadmill testing with stress echocardiography. The protocol was approved by institutional review boards at participating sites, and all participants provided written informed consent.

Measures

Predictor: Positive Affect

Positive affect was assessed at baseline and at the 5-year follow-up using the 10-item positive affect subscale of the Positive and Negative Affect Schedule (PANAS) (26), which was administered by paper-and-pencil questionnaire. On a 1 (not at all) to 5 (extremely) scale, participants rated the extent that they had felt the following emotions during the past week: attentive, interested, excited, strong, enthusiastic, determined, proud, inspired, active, and alert. PANAS scores were calculated by summing the responses to the 10 items, for a minimum score of 10 and a maximum score of 50. Previous work has demonstrated the reliability and validity of the PANAS (26); our sample showed high internal consistency reliability (Cronbach α = .93). To minimize respondent burden, the negative affect subscale of the PANAS was not administered.

Outcomes: Health Behaviors

All health behaviors were assessed by self-report at baseline and at the 5-year follow-up.

Physical activity was measured using the question, “Which of the following statements best describes how physically active you have been during the last month, that is, done activities such as 15–20 minutes of brisk walking, swimming, general conditioning, or recreational sports?” Possible responses were as follows: not at all active (1–2 times a month), fairly active (3–4 times per month), quite active (1–2 times a week), very active (3–4 times a week), or extremely active (≥5 times a week). On the basis of prior work (25), participants who reported that they were “not at all active” or “a little active” were considered physically inactive, whereas those who reported that they were “fairly active” to “extremely active” were considered physically active. Physical activity was also analyzed as a continuous variable ranging from 0 to 5, with higher scores indicating greater physical activity. Self-report has been shown to be reliable and valid for measuring physical activity (27–30).

Sleep quality was measured using one item from the Pittsburgh Sleep Quality Index (31). Participants were asked, “During the last month, how would you rate your sleep quality overall?” and chose from one of the following five responses: very bad, fairly bad, good, fairly good, or very good. On the basis of previous research (32), poor sleep quality was defined as sleep that was “fairly bad” or “very bad,” whereas good sleep quality was defined as sleep that ranged from “good” to “very good.” Sleep quality was also used as a continuous variable ranging from 0 to 4, with higher scores referring to better sleep quality.

Medication adherence was assessed using the question, “In the past month, how often did you take your medications as the doctor prescribed?” Possible response options were as follows: all of the time (100%), nearly all of the time (90%), most of the time (75%), about half the time (50%), or less than half the time (<50%). Participants were considered adherent if they reported taking their medications 90% to 100% of the time, or nonadherent if they took their medications 75% or less of the time. This single-item measure and cutoff for nonadherence has been shown to predict adverse cardiovascular events (33). Medication adherence was also evaluated as a continuous variable ranging from 0 to 4, with higher scores indicating more adherence.

Alcohol use was measured with the Alcohol Use Disorders Identification Test–Consumption. Participants responded to three questions regarding their frequency of drinking, number of drinks they typically had on one occasion, and frequency of having six or more drinks on one occasion. Regular alcohol use was defined using the standard cutoff of 4 or more points on the Alcohol Use Disorders Identification Test–Consumption (34,35).
Smoking was assessed with a single question, “Do you currently smoke cigarettes?” Responses were “yes” or “no.”

Covariates
We examined potential confounding variables measured at baseline, as well as depressive symptoms measured at both baseline and follow-up. The severity of depressive symptoms in the past 2 weeks was assessed with the nine-item Patient Health Questionnaire (36). Scores ranged from 0 to 27, with higher scores indicating more severe depressive symptoms. We analyzed depressive symptoms as a continuous variable.

Demographics and medical history were obtained from a questionnaire at baseline. Participants were instructed to bring their medication bottles to the study appointment, and study personnel recorded all current medications. Height and weight were measured and used to calculate body mass index (BMI). Left ventricular ejection fraction (LVEF) was assessed by resting echocardiography. We categorized participants as having diastolic dysfunction if their mitral inflow ratio of peak early-to-late diastolic filling velocity was more than 0.75 and if their velocity time integral in the pulmonary vein was greater during diastole than during systole (37). Low- and high-density lipoprotein (HDL) cholesterol levels were determined from fasting venous blood samples.

Data Analysis
We first examined the bivariate associations between positive affect (split at the median score of 32) with covariates and outcome variables. Tests were used for continuous variables, and χ² tests were used for categorical variables. Health behaviors that were related to positive affect were examined in further analyses. Any covariates that were associated with positive affect at p < .10 were included in subsequent multivariate analyses.

Next, logistic regression models were used to test whether baseline positive affect (as a continuous variable) was cross sectionally associated with optimal health behaviors—that is, being physically active, having good sleep quality, being adherent to medications, and being a nonsmoker. The analyses were also conducted using linear regression to examine health behaviors as continuous variables. The models were adjusted sequentially for a) demographics; b) BMI, comorbid medical conditions, and cardiac disease severity; and c) depressive symptoms.

Longitudinal analyses were conducted in two ways using linear regression for continuous variables (i.e., physical activity, sleep quality, and medication adherence) and logistic regression for smoking status. First, baseline positive affect was tested as a predictor of health behaviors at follow-up, adjusting for baseline health behaviors. Second, associations were examined between 5-year change scores (follow-up minus baseline score) for positive affect and 5-year change in health behaviors. All analyses were performed using SAS version 9.4 (SAS Institute Inc, Cary, NC).

RESULTS
Characteristics of the Sample
Of the 1022 participants who completed the PANAS at baseline, 518 were categorized as “low positive affect” (i.e., PANAS score less than median of 32) and 504 were categorized as “high positive affect.” Participants with high positive affect tended to be older, were more likely to have graduated high school, and were less likely to have an annual household income below $20,000 (Table 1). High positive affect was also associated with a history of revascularization and higher HDL cholesterol, as well as lower BMI and less severe depressive symptoms.

Cross-Sectional Associations Between Baseline Positive Affect and Health Behaviors
Higher levels of positive affect were associated with a lower likelihood of smoking, greater physical activity, better sleep quality, and more adherence to medications, whereas alcohol use was not related to positive affect (correlations are provided in Table S1, Supplemental Digital Content 1, http://links.lww.com/PSYMED/A241). In unadjusted logistic regression models, baseline positive affect (as a continuous variable) was cross sectionally associated with greater odds of being physically active, having good sleep quality, being adherent to medications, and being a nonsmoker (Table 2). After adjustment for age, education, income, BMI, history of revascularization, HDL cholesterol, and depressive symptoms, each 1-standard deviation (8.8-point) increase in baseline positive affect was associated with 52% greater odds of being physically active, 24% greater odds of having good sleep quality, 46% greater odds of being adherent to medications, and 29% greater odds of smoking (all p values < .05; Table 2). Results were comparable in linear regression models when health behaviors were analyzed as continuous variables (except smoking status, which was assessed as a dichotomous variable). In fully adjusted models, higher positive affect was associated with greater physical activity (unstandardized B = 0.037, standard error [SE] = 0.006, p < .001), better sleep quality (B = 0.020, SE = 0.004, p < .001), and more adherence to medications (B = 0.011, SE = 0.003, p < .001).

Baseline Positive Affect Predicting Health Behaviors 5 Years Later
After 5 years, 829 participants were alive. Of the surviving participants, 662 (80% of survivors) completed the follow-up assessment. Compared to surviving participants who did not provide follow-up data, those with follow-up data were more likely to be older and male, had higher income, and reported lower depressive symptoms at baseline. They also had higher positive affect and tended to be nonsmokers, physically active, and adherent to medications and had good sleep quality at baseline. There were no significant differences at baseline in race, education, alcohol use, BMI, diastolic dysfunction, LVEF, or other relevant variables between those who did those who did not provide follow-up data. The cross-sectional associations between positive affect and health behaviors at follow-up were similar to those observed at baseline, except that the relationship between positive affect and nonsmoking was eliminated after including covariates.

As depicted in Figure 1, participants with high positive affect at baseline were more likely to report optimal health behaviors at the 5-year follow-up. However, these associations were no longer significant after adjusting for baseline health behaviors in linear regression models for physical activity (B = 0.006, SE = 0.007, p = .36) and medication...
# TABLE 1. Baseline Characteristics of 1022 Participants With Stable Coronary Heart Disease, by Positive Affect

<table>
<thead>
<tr>
<th>Participant Characteristic</th>
<th>Low Positive Affect&lt;sup&gt;a&lt;/sup&gt; (n = 518)</th>
<th>High Positive Affect&lt;sup&gt;b&lt;/sup&gt; (n = 504)</th>
<th>p</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Demographics</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Age, M (SD), y</td>
<td>66.1 (11.1)</td>
<td>67.6 (10.6)</td>
<td>.027</td>
</tr>
<tr>
<td>Male sex, no. (%)</td>
<td>422 (81.5)</td>
<td>416 (82.5)</td>
<td>.66</td>
</tr>
<tr>
<td>White, no. (%)</td>
<td>311 (60.0)</td>
<td>304 (60.3)</td>
<td>.93</td>
</tr>
<tr>
<td>High school graduate, no. (%)</td>
<td>438 (84.6)</td>
<td>452 (90.0)</td>
<td>.009</td>
</tr>
<tr>
<td>Income &lt;$20,000/y, no. (%)</td>
<td>298 (57.9)</td>
<td>198 (39.5)</td>
<td>&lt;.001</td>
</tr>
<tr>
<td><strong>BMI and comorbid conditions</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>BMI, M (SD), kg/m&lt;sup&gt;2&lt;/sup&gt;</td>
<td>28.8 (5.8)</td>
<td>28.0 (4.8)</td>
<td>.021</td>
</tr>
<tr>
<td>Hypertension, no. (%)</td>
<td>373 (72.4)</td>
<td>348 (69.0)</td>
<td>.24</td>
</tr>
<tr>
<td>Myocardial infarction, no. (%)</td>
<td>270 (52.5)</td>
<td>277 (55.3)</td>
<td>.38</td>
</tr>
<tr>
<td>Stroke, no. (%)</td>
<td>70 (13.6)</td>
<td>77 (15.3)</td>
<td>.45</td>
</tr>
<tr>
<td>Revascularization, no. (%)</td>
<td>289 (56.1)</td>
<td>312 (61.9)</td>
<td>.060</td>
</tr>
<tr>
<td>Congestive heart failure, no. (%)</td>
<td>92 (17.9)</td>
<td>86 (17.2)</td>
<td>.77</td>
</tr>
<tr>
<td>Diabetes mellitus, no. (%)</td>
<td>141 (27.3)</td>
<td>123 (24.5)</td>
<td>.30</td>
</tr>
<tr>
<td><strong>Medication use, no. (%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Aspirin</td>
<td>371 (73.2)</td>
<td>369 (73.5)</td>
<td>.91</td>
</tr>
<tr>
<td>β-Blocker</td>
<td>294 (58.0)</td>
<td>299 (59.6)</td>
<td>.61</td>
</tr>
<tr>
<td>Angiotensin system inhibitor</td>
<td>261 (51.5)</td>
<td>262 (52.2)</td>
<td>.82</td>
</tr>
<tr>
<td>Statin</td>
<td>318 (62.7)</td>
<td>337 (67.1)</td>
<td>.14</td>
</tr>
<tr>
<td><strong>Cardiac disease severity</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>LVEF, M (SD), %</td>
<td>61.6 (9.7)</td>
<td>61.8 (9.5)</td>
<td>.84</td>
</tr>
<tr>
<td>Diastolic dysfunction, no. (%)</td>
<td>57 (12.4)</td>
<td>59 (13.2)</td>
<td>.71</td>
</tr>
<tr>
<td>LDL cholesterol, M (SD), mg/dl</td>
<td>104.0 (33.7)</td>
<td>104.6 (33.7)</td>
<td>.80</td>
</tr>
<tr>
<td>HDL cholesterol, M (SD), mg/dl</td>
<td>44.9 (13.6)</td>
<td>46.8 (14.5)</td>
<td>.035</td>
</tr>
<tr>
<td><strong>Depressive symptoms&lt;sup&gt;c&lt;/sup&gt;, M (SD)</strong></td>
<td>7.3 (6.0)</td>
<td>3.0 (3.8)</td>
<td>&lt;.001</td>
</tr>
<tr>
<td><strong>Health behaviors, no. (%)</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Regular alcohol use&lt;sup&gt;d&lt;/sup&gt;</td>
<td>140 (27.3)</td>
<td>153 (30.5)</td>
<td>.25</td>
</tr>
<tr>
<td>Current smoker</td>
<td>133 (25.8)</td>
<td>68 (13.5)</td>
<td>&lt;.001</td>
</tr>
<tr>
<td>Adherent to medications&lt;sup&gt;e&lt;/sup&gt;</td>
<td>452 (88.5)</td>
<td>478 (95.2)</td>
<td>&lt;.001</td>
</tr>
<tr>
<td><strong>Sleep quality</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Very bad</td>
<td>50 (9.7)</td>
<td>19 (3.8)</td>
<td>&lt;.001</td>
</tr>
<tr>
<td>Fairly bad</td>
<td>153 (29.6)</td>
<td>62 (12.3)</td>
<td></td>
</tr>
<tr>
<td>Good</td>
<td>177 (34.3)</td>
<td>160 (31.7)</td>
<td></td>
</tr>
<tr>
<td>Fairly good</td>
<td>102 (19.8)</td>
<td>167 (33.1)</td>
<td></td>
</tr>
<tr>
<td>Very good</td>
<td>34 (6.6)</td>
<td>96 (19.1)</td>
<td></td>
</tr>
<tr>
<td><strong>Physical activity</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Not at all active</td>
<td>136 (26.4)</td>
<td>52 (10.4)</td>
<td>&lt;.001</td>
</tr>
<tr>
<td>A little active</td>
<td>108 (20.9)</td>
<td>74 (14.7)</td>
<td></td>
</tr>
<tr>
<td>Fairly active</td>
<td>84 (16.3)</td>
<td>72 (14.3)</td>
<td></td>
</tr>
<tr>
<td>Quite active</td>
<td>71 (13.7)</td>
<td>84 (16.7)</td>
<td></td>
</tr>
<tr>
<td>Very active</td>
<td>82 (15.9)</td>
<td>136 (27.1)</td>
<td></td>
</tr>
</tbody>
</table>

M = mean; SD = standard deviation; BMI = body mass index; LVEF = left ventricular ejection fraction; LDL = low-density lipoprotein; HDL = high-density lipoprotein.

<sup>a</sup> Positive and negative affect score <32.

<sup>b</sup> Positive and negative affect score ≥32.

<sup>c</sup> Patient Health Questionnaire-9 scores can range from 0 to 27.

<sup>d</sup>Regular alcohol use was defined as a score of 4 or more on the Alcohol Use Disorders Identification Test–Consumption.

<sup>e</sup>Medication adherence was defined as taking medications as prescribed 90% to 100% of the time.
adherence ($B = 0.005$, SE = 0.003, $p = .16$), and in a logistic regression model for nonsmoking (odds ratio [OR] = 1.15, 95% confidence interval [CI] = 0.80–1.64, $p = .45$). Baseline positive affect predicted better sleep quality 5 years later, accounting for baseline sleep quality ($B = 0.013$, SE = 0.005, $p = .007$); this association was reduced to nonsignificance after additional adjustment for baseline depressive symptoms ($B = 0.003$, SE = 0.005, $p = .51$).

### Changes in Positive Affect and Changes in Health Behaviors Across 5 Years

Descriptive statistics and bivariate correlations among 5-year changes in positive affect, depressive symptoms, and health behaviors are provided in Table S2, Supplemental Digital Content 1, http://links.lww.com/PSYMED/A241. In unadjusted linear regression analyses (Table 3), increases in positive affect across 5 years were associated with concurrent improvements in physical activity, sleep quality, and medication adherence (all $p$ values < .001). After multivariate adjustment, 5-year changes in positive affect remained significantly associated with increases in physical activity ($B = 0.023$, SE = 0.008, $p = .002$), sleep quality ($B = 0.011$, SE = 0.005, $p = .039$), and medication adherence ($B = 0.014$, SE = 0.004, $p < .001$). However, change in positive affect was not associated with the odds of being a nonsmoker at follow-up, after adjusting for

**TABLE 2.** Associations Between Positive Affect (Per SD [8.8-Point] Increase) and Health Behaviors at Baseline

<table>
<thead>
<tr>
<th>Model</th>
<th>Physically Active $(n = 1007)$</th>
<th>Good Sleep Quality $(n = 1009)$</th>
<th>Medication Adherence $(n = 1000)$</th>
<th>Nonsmoker $(n = 1008)$</th>
</tr>
</thead>
<tbody>
<tr>
<td>Unadjusted</td>
<td>1.79 (1.56–2.06)</td>
<td>1.72 (1.49–1.99)</td>
<td>1.61 (1.28–2.02)</td>
<td>1.51 (1.29–1.78)</td>
</tr>
<tr>
<td>Adjusted for demographics$^a$</td>
<td>1.78 (1.54–2.06)</td>
<td>1.70 (1.46–1.98)</td>
<td>1.54 (1.22–1.96)</td>
<td>1.34 (1.13–1.60)</td>
</tr>
<tr>
<td>Adjusted for above plus BMI, HDL cholesterol, and history of revascularization</td>
<td>1.75 (1.52–2.03)</td>
<td>1.69 (1.45–1.97)</td>
<td>1.60 (1.25–2.03)</td>
<td>1.40 (1.17–1.68)</td>
</tr>
<tr>
<td>Adjusted for above plus baseline depressive symptoms</td>
<td>1.52 (1.30–1.77)</td>
<td>1.24 (1.04–1.48)</td>
<td>1.46 (1.12–1.90)</td>
<td>1.29 (1.06–1.57)</td>
</tr>
</tbody>
</table>

SD = standard deviation; BMI = body mass index; HDL = high-density lipoprotein.

All $p$ values < .001, except in fully adjusted models for medication adherence ($p = .005$), sleep quality ($p = .015$), and nonsmoking ($p = .012$).

Physically active was defined as engaging in physical activity at least 3 to 4 times per month (versus ≤2 times per month (25)).

Good sleep quality was defined as ratings of “good,” “fairly good,” or “very good” sleep (versus “fairly bad” or “very bad” sleep (32)).

Medication adherence was defined as taking medications as prescribed 90% to 100% of the time (33).

Nonsmoking was defined as a response of “no” to the question, “Do you currently smoke cigarettes?”

$^a$ Age, education (high school graduate), and low income (income < $20,000/year).

**FIGURE 1.** Health behaviors at 5-year follow-up, split by baseline positive affect. High positive affect at baseline (PANAS ≥ median score of 32) was associated with better health behaviors 5 years later. All $p$ values were ≤.003 in unadjusted $\chi^2$ analyses. PANAS = Positive and Negative Affect Schedule.
The current study supports and extends the literature on positive psychological states and health behaviors in several ways. First, much of the existing research on positive attributes and health behaviors is cross-sectional and therefore cannot evaluate long-term associations (7). Several other longitudinal investigations have found that baseline positive emotions or attitudes predict improvements in maintenance of, or trajectories in health behaviors. For example, a study of more than 2000 sedentary adults showed that, among men only, those who had more a positive outlook on life tended to show increases in physical activity 6 months later (38). In 153 individuals recently diagnosed as having HIV, baseline positive affect predicted linkage to HIV care at 3 months after diagnosis and antiretroviral therapy persistence across 18 months (39). Among 31 patients who underwent cardiac transplant, those who had more positive expectations before operation demonstrated more adherence to medical regimens 3 months after operation (24). A study of 115 older women examined distinct trajectories in sleep quality across a decade; women with high psychological well-being were less likely to show patterns of disrupted sleep (40). Finally, evidence from smoking cessation trials suggests that positive affect is related to tobacco abstinence (41,42). In contrast, we found that positive affect did not independently predict future health behaviors, after accounting for baseline health behaviors and depressive symptoms. Our results may have differed from prior studies due to our longer follow-up period of 5 years, no intervention or discrete event (e.g., diagnosis or operation), as well as differences in methodology, analytical approach, and clinical sample. However, to our knowledge, this study is the first to show that spontaneous, natural changes (i.e., not induced by an intervention) in positive affect co-occur with health behavior change.

Second, we show that positive affect is associated with concurrent optimal health behaviors, independent of potential behavioral and motivational deficits that are characteristic of depression. Some previous studies have not accounted for psychological distress in their analyses (43,44). Our findings indicate that positive affect and depression, although related, are nevertheless distinct constructs and have separate predictive utility. Indeed, previous studies have found only moderate correlations between positive attributes (e.g., optimism, gratitude, and life satisfaction) and negative psychological states (e.g., depression, anger, and anxiety), suggesting that the presence of high positive affect does not merely imply the absence of depressive affect (8).

TABLE 3. Five-Year Change in Positive Affect Predicting 5-Year Change in Health Behaviors

<table>
<thead>
<tr>
<th>Model</th>
<th>Unstandardized B(SE)</th>
<th>OR (95% CI)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Physical Activity</td>
<td>Sleep Quality</td>
</tr>
<tr>
<td></td>
<td>($n=646$)</td>
<td>($n=652$)</td>
</tr>
<tr>
<td>Unadjusted</td>
<td>0.030 (0.007)</td>
<td>0.017 (0.005)</td>
</tr>
<tr>
<td>Adjusted for demographics</td>
<td>0.029 (0.007)</td>
<td>0.019 (0.005)</td>
</tr>
<tr>
<td>Adjusted for above plus BMI, HDL cholesterol, and history of revascularization</td>
<td>0.030 (0.007)</td>
<td>0.019 (0.005)</td>
</tr>
<tr>
<td>Adjusted for above plus 5-y change in depressive symptoms</td>
<td>0.023 (0.008)</td>
<td>0.011 (0.005)</td>
</tr>
</tbody>
</table>

SE = standard error; OR = odds ratio; CI = confidence interval; BMI = body mass index; HDL = high-density lipoprotein.

* The unstandardized B coefficient represents change in each health behavior associated with a 1-point increase in positive affect across 5 years. All B coefficients for physical activity, sleep quality, and medication adherence were significant at $p < .001$, except in fully adjusted models for physical activity ($p = .002$) and sleep quality ($p = .039$).

* Because smoking status was a dichotomous variable, change in positive affect (per standard deviation [8.9-point] increase in positive affect change score) was entered as a predictor of nonsmoking at follow-up in logistic regression models. These models adjusted for baseline smoking status.

* Age, education (high school graduate), and low income (income <$20,000/y).

DISCUSSION

The purpose of our study was to examine the associations between positive affect and long-term health behaviors among patients with stable coronary heart disease. In a sample of 1022 patients, baseline positive affect was cross-sectionally associated with optimal health behaviors (physical activity, sleep quality, medication adherence, and nonsmoking), independent of depressive symptoms, demographics, and other confounding variables. Among 662 surviving patients who completed the follow-up examination 5 years later, baseline positive affect was not predictive of subsequent health behaviors after accounting for baseline health behaviors. However, 5-year increases in positive affect co-occurred with improvements in physical activity, sleep quality, and medication adherence. These findings contribute to the growing evidence that positive psychological states are associated with a range of health behaviors and may be involved in promoting cardiovascular health (11,16,18).

The current study supports and extends the literature on positive psychological states and health behaviors in several ways. First, much of the existing research on positive attributes and health behaviors is cross-sectional and therefore cannot evaluate long-term associations (7). Several other longitudinal investigations have found that baseline positive emotions or attitudes predict improvements in maintenance of, or trajectories in health behaviors. For example, a study of more than 2000 sedentary adults showed that, among men only, those who had more a positive outlook on life tended to show increases in physical activity 6 months later (38). In 153 individuals recently diagnosed as having HIV, baseline positive affect predicted linkage to HIV care at 3 months after diagnosis and antiretroviral therapy persistence across 18 months (39). Among 31 patients who underwent cardiac transplant, those who had more positive expectations before operation demonstrated more adherence to medical regimens 3 months after operation (24). A study of 115 older women examined distinct trajectories in sleep quality across a decade; women with high psychological well-being were less likely to show patterns of disrupted sleep (40). Finally, evidence from smoking cessation trials suggests that positive affect is related to tobacco abstinence (41,42). In contrast, we found that positive affect did not independently predict future health behaviors, after accounting for baseline health behaviors and depressive symptoms. Our results may have differed from prior studies due to our longer follow-up period of 5 years, no intervention or discrete event (e.g., diagnosis or operation), as well as differences in methodology, analytical approach, and clinical sample. However, to our knowledge, this study is the first to show that spontaneous, natural changes (i.e., not induced by an intervention) in positive affect co-occur with health behavior change.

Second, we show that positive affect is associated with concurrent optimal health behaviors, independent of potential behavioral and motivational deficits that are characteristic of depression. Some previous studies have not accounted for psychological distress in their analyses (43,44). Our findings indicate that positive affect and depression, although related, are nevertheless distinct constructs and have separate predictive utility. Indeed, previous studies have found only moderate correlations between positive attributes (e.g., optimism, gratitude, and life satisfaction) and negative psychological states (e.g., depression, anger, and anxiety), suggesting that the presence of high positive affect does not merely imply the absence of depressive affect (8).
Third, individuals in better health may be more likely to have both high positive affect—including emotions such as active, alert, and excited—and good health habits, compared with their less healthy counterparts. Thus, it is important to adjust for potential confounding due to physical health, but previous studies have often relied on self-reported health status rather than objective indicators. A notable strength of our study was the careful consideration for objective measures of cardiac disease severity (LVEF, diastolic dysfunction, and low-density lipoprotein and HDL cholesterol), BMI, comorbid medical conditions, and current medications.

Finally, much of the previous work on positive psychological states and health behaviors has been conducted on healthy participants, young adults, or adolescents. However, it is necessary to examine these processes in patients with cardiac disease because both psychological factors and health behaviors are implicated in the progression and prognosis of cardiovascular disease. Moreover, some health behaviors—specifically, medication adherence—are more relevant for clinical populations than for disease-free or younger individuals. Our findings are therefore applicable to patients with coronary heart disease and may extend to other conditions in which health behaviors are particularly important.

Possible Mechanisms
A number of mechanisms, driven by both directions of association, may be responsible for the links between positive affect and health behaviors. Individuals with higher positive affect (as measured by the PANAS) are relatively more likely to endorse feelings of being active, alert, determined, and strong; these feelings reflect energy and motivation, which are necessary for activities such as exercise. Among patients experiencing health-related stress, those who generate or sustain positive affect may be relatively better able to proactively cope with chronic stress and challenges—for example, by reappraising or reframing their setbacks in a positive light, taking action to effectively manage problems, and infusing ordinary events with meaning (45). Positive affect is also important for self-regulation, by producing favorable expectations and confidence when one is progressing toward his or her goals, as well as the ability to adjust and disengage from unattainable goals (14). Moreover, positive affect facilitates the processing of self- and goal-relevant health risk information (13). For example, previous work showed that smokers systematically processed health recommendations in a quit smoking message when they were in a positive (but not negative) mood, presumably because positive mood acts as a resource or buffer against the impact of the health message and reduces self-defensiveness (46). Alternatively, positive affect may serve as a marker for other characteristics that are predictive of health behaviors, including self-efficacy (12) or social support (47,48).

Furthermore, it is likely that improvements in health behaviors promote increases in positive affect over time. Studies using naturalistic repeated assessments in daily life have shown that physical activity produces elevations in positive affect (21), and nights of good sleep quality were followed by days with higher positive affect (22). Biological and neural processes—such as elevated proinflammatory cytokines (49) and amplified amygdala reactivity (50)—are potential pathways linking physical inactivity and poor sleep to subsequent psychological distress. However, the mechanisms whereby optimal health behaviors lead to positive well-being have yet to be delineated.

Limitations
Several limitations should be considered when interpreting the results of this study. First, the direction of causality remains unclear. It is unknown whether changes in health behaviors led to changes in positive affect or vice versa. Unmeasured confounding variables also may have contributed to both positive affect and health behaviors. An intervention for enhancing positive affect (or buffering against declines in positive affect) would be ideal for examining the influence of positive affect on subsequent health behaviors.

Second, this study was limited in its measures of health behaviors and positive affect. All health behaviors were self-reported and thus susceptible to recall or response biases. Nonetheless, self-reported measures have been shown to be valid and reliable (27,30,33). We also did not assess other important health behaviors, such as diet and sleep duration. Positive affect was measured using the PANAS (26), a validated and widely used scale. However, the PANAS consists of high-activation positive emotions; little is known about whether low-activation positive emotions (such as feeling calm, serene, and content) are associated with health behaviors.

Lastly, our sample consisted of older, mostly male patients with stable coronary heart disease. However, this sample had characteristics that are representative of typical cardiac outpatients, including ethnic diversity (40% were nonwhite), recruitment from different types of health care settings, and a range of diagnoses. Positive affect was associated with lower risk of all-cause mortality in this cohort (11). Among participants alive after 5 years, those who returned for the follow-up examination (80% of surviving participants) had higher positive affect and better health behaviors at baseline compared with those with missing follow-up data. Our longitudinal findings were therefore based on a subsample of participants who were relatively healthier; these findings may not be valid for individuals who are in poorer psychological and physical health. Caution should be taken in generalizing the results of this study to the general population.
Implications
Although this study does not provide causal evidence for the influence of positive affect on health behaviors, the findings suggest that interventions to improve health behaviors may benefit from taking into account an individual's level of positive affect. Interventions that aim to improve psychological well-being, rather than solely addressing maladaptive thoughts or behaviors, are effective for enhancing positive states and alleviating depressive symptoms (51,52); such interventions have been adapted for patients experiencing health-related stress (53). Randomized controlled trials of positive affect interventions—combined with patient education—have shown clinically significant increases in physical activity among patients after percutaneous coronary intervention (54) and improvements in medication adherence among hypertensive African Americans (55), compared with patient education alone. More work is needed to understand the role of positive psychological factors in the processes of health behavior maintenance and change.

Conclusions
In a prospective cohort of patients with stable coronary heart disease, positive affect was cross-sectionally associated with physical activity, sleep quality, medication adherence, and non-disease, positive affect was cross-sectionally associated with In a prospective cohort of patients with stable coronary heart disease. Although this study does not provide causal evidence for the influence of positive affect on health behaviors, the findings suggest that interventions to improve health behaviors may benefit from taking into account an individual's level of positive affect. Interventions that aim to improve psychological well-being, rather than solely addressing maladaptive thoughts or behaviors, are effective for enhancing positive states and alleviating depressive symptoms (51,52); such interventions have been adapted for patients experiencing health-related stress (53). Randomized controlled trials of positive affect interventions—combined with patient education—have shown clinically significant increases in physical activity among patients after percutaneous coronary intervention (54) and improvements in medication adherence among hypertensive African Americans (55), compared with patient education alone. More work is needed to understand the role of positive psychological factors in the processes of health behavior maintenance and change.

Sources of Funding and Conflicts of Interest: Dr. Sin was supported by Grants T32AG000212 and F32AG048698 from the National Institute on Aging. Dr. Moskowitz was supported by K24 MH093225 from the National Institute of Mental Health. The Heart and Soul Study was funded by the Department of Veteran Affairs (Epidemiology Merit Review Program), Washington, DC; Grant RO1 HL-079235 from the National Heart, Lung, and Blood Institute, Bethesda, MD; the Robert Wood Johnson Foundation (Generalist Physician Faculty Scholars Program), Princeton, NJ; and the American Federation for Aging Research (Paul Beeson Faculty Scholars in Aging Research Program), New York, NY. The authors have no conflicts of interest to report.

REFERENCES
assessment study on daily life physical activity and affect. Health Psychol 2012;31:135–44.